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# Lie algebra for rotational subsystems of a driven asymmetric top

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## Abstract

We present an analytical approach to construct the Lie algebra of finite-dimensional subsystems of the driven asymmetric top rotor. Each rotational level is degenerate due to the isotropy of space, and the degeneracy increases with rotational excitation. For a given rotational excitation, we determine the nested commutators between drift and drive Hamiltonians using a graph representation. We then generate the Lie algebra for subsystems with arbitrary rotational excitation using an inductive argument.

Keywords: molecular rotation, controllability, Lie algebra

(Some figures may appear in colour only in the online journal)

## 1. Introduction

Lie algebras, encoding the structure of Lie groups, are an essential tool to study symmetries in physics [1]. *Dynamical* Lie algebras characterize the coherent dynamics and symmetry

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behavior of a quantum system and thus play a central role in quantum control [2, 3]. Given the Hamiltonian of a quantum system, its dynamical Lie algebra is constructed by taking the nested commutators of the drift, i.e., the field-free term, and all drives, i.e., all couplings to external fields. Since the Lie algebra elements are the generators of the dynamics, any time evolution can—in principle (i.e., upon suitable choice of external fields)—be generated if the dynamical Lie algebra is of full rank [2]. For the simplest example of a two-level system, two non-commuting terms in the Hamiltonian, for example a  $\sigma_z$ -drift and  $\sigma_x$ -drive, are sufficient for the corresponding Lie algebra to be of full rank. In contrast, a  $\sigma_z$ -drive would not be enough to transfer the two-level system from any initial into any final state.

The construction of the dynamical Lie algebra quickly becomes challenging as the dimension of Hilbert space increases. For composite quantum systems such as  $N$  two-level systems, one may start from the Lie algebras of the constituent systems but the presence or absence of interactions, i.e., entangling operations, renders the problem non-trivial [2]. For large Hilbert spaces that cannot be written as a tensor product, few methods exist to generate the elements of the Lie algebra and often one needs to resort to numerical approaches [4]. Such large Hilbert spaces may, however, display a tensor sum structure. This suggests to first construct the Lie algebra in a small subspace and then infer the elements in other subspaces.

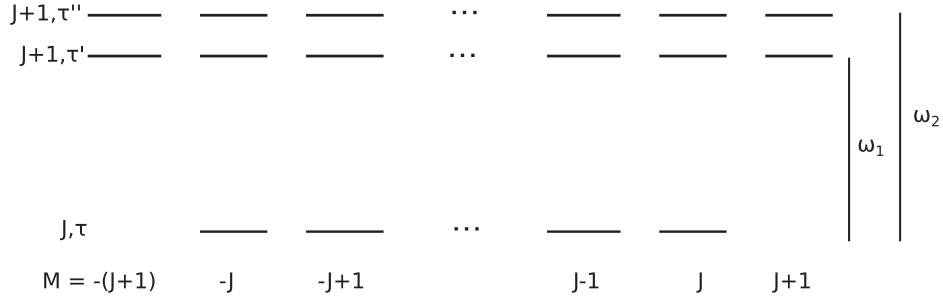
Here, we show how to systemize this approach and construct the dynamical Lie algebra of a resonantly driven asymmetric top rotor in arbitrarily large rotational subspaces. The driven quantum asymmetric top is an important paradigm of molecular physics with current applications ranging from quantum information [5] to high-resolution spectroscopy [6]. Isotropy of space makes a quantum rotor an inherently degenerate system. Orientational degeneracy is a challenge for quantum control since selecting a particular rotational state cannot be achieved by spectral selection alone [7]. However, the symmetry that is at the core of the degeneracy also provides the intuition for how to make a quantum rotor controllable—by choosing drives, i.e., polarization directions, that break the symmetry. This was first realized for linear rotors [8] at zero rotational temperature, where an inductive argument was used to prove approximate controllability. A theory to decouple a finite-dimensional subspace from the rest of an infinite-dimensional spectrum [9–12] allows to rigorously extend the proof of controllability of a linear rotor to unitary evolutions [13]. The controllability results of reference [13] have been recently generalized to symmetric top rotors in [14]. In comparison to linear and symmetric quantum rotors, asymmetric tops have a far more complex energy level structure. The conditions for unitary evolution controllability have only recently been identified for three-level subsystems  $J/J + 1/J + 1$  with rotational quantum numbers  $J = 0$  and  $J = 1$  [15]. Here, we extend the proof to arbitrary rotational excitation. This is made possible by representing the Hamiltonian on a graph before making use of an inductive argument to determine the nested commutators generating the Lie algebra.

The paper is organized as follows. We briefly recall the model for an asymmetric quantum top in section 2 and introduce the graph representation in section 3. The induction is carried out in section 4 and section 5 concludes.

## 2. Driven asymmetric top rotor

We consider a subsystem corresponding to a finite subset of the spectrum of an asymmetric top as shown in figure 1, where the bars indicate the eigenstates  $|J, \tau, M\rangle$  of the asymmetric top Hamiltonian

$$\hat{H}_0 = A\hat{J}_a^2 + B\hat{J}_b^2 + C\hat{J}_c^2, \quad (1)$$



**Figure 1.** Rotational subsystem consisting of the rotational states  $|J, \tau, M\rangle$ ,  $|J+1, \tau', M\rangle$  and  $|J+1, \tau'', M\rangle$ .

where  $\hat{J}_a$ ,  $\hat{J}_b$ , and  $\hat{J}_c$  are the angular momentum operators with respect to the principal molecular axes, and  $A > B > C$  are the rotational constants.

Here  $J = 0, 1, 2, \dots$  is the rotational quantum number. For each  $J$  there exist  $2J + 1$  different rotational energy levels  $E_{J,\tau}$ , with  $\tau = -J, -J + 1, \dots, J$ . Each of those levels is  $(2J + 1)$ -fold degenerate, with the degenerate states denoted by the orientational quantum number  $M = -J, -J + 1, \dots, J$ . For every  $J$  and  $M$ , the eigenstates of the asymmetric top are ordered such that  $\tau = -J$  corresponds to the lowest and  $\tau = J$  to the highest energy. Rotational subsystems of this kind are relevant for example for the enantiomer-selective excitation of rotational states of chiral molecules using microwave three-wave mixing [16–18]. The Hilbert space  $\mathcal{H}$  of the rotational subsystem is

$$\mathcal{H} = \text{span}\{|J, \tau, M\rangle | M = -J, \dots, J\} \oplus \text{span}\{|J+1, \tau', M\rangle, |J+1, \tau'', M\rangle | M = -(J+1), \dots, (J+1)\} \cong \mathbb{C}^{6J+7}.$$

Written in the basis of eigenstates  $|J, \tau, M\rangle$ , the rotational Hamiltonian  $\hat{H}_0$  is given by a diagonal  $(6J + 7) \times (6J + 7)$ -matrix containing  $(2J + 1)$ -times the entry  $E_{J,\tau}$ ,  $(2J + 3)$ -times  $E_{J+1,\tau'}$ , and  $(2J + 3)$ -times  $E_{J+1,\tau''}$ . The asymmetric top interacts, via dipole interaction  $-\hat{\vec{\mu}}\vec{E}_p(t)$ , with the electromagnetic fields  $\vec{E}_p(t) = \vec{e}_p\mathcal{E}_p u_p(t)$ ,  $p = x, y, z$ , such that

$$\hat{H}(t) = \hat{H}_0 + \sum_{p=x,y,z} \hat{H}_p u_p(t), \tag{2}$$

with  $\hat{H}_p = -\hat{\mu}_p\mathcal{E}_p$ . Here,  $\vec{e}_p$  is the polarization vector,  $\mathcal{E}_p$  is the maximal amplitude and  $u_p(t)$  is the time-dependence of the electromagnetic field. The dipole moment  $\hat{\vec{\mu}}$  with the Cartesian components  $\hat{\mu}_p$  equal to  $\hat{\mu}_x$ ,  $\hat{\mu}_y$  and  $\hat{\mu}_z$  is given in the laboratory-fixed frame. Transformation to the dipole moments  $\mu_\sigma = (\mu_a, \mu_b, \mu_c)$  in the molecule-fixed frame by a rotation [19] results in

$$\begin{aligned} \hat{\mu}_x &= \frac{\mu_a}{\sqrt{2}} (D_{-10}^1 - D_{10}^1) + \frac{\mu_b}{2} (D_{11}^1 - D_{1-1}^1 - D_{-11}^1 + D_{-1-1}^1) \\ &\quad - i\frac{\mu_c}{2} (D_{11}^1 + D_{1-1}^1 - D_{-11}^1 - D_{-1-1}^1), \\ \hat{\mu}_y &= -i\frac{\mu_a}{\sqrt{2}} (D_{-10}^1 + D_{10}^1) + i\frac{\mu_b}{2} (D_{11}^1 - D_{1-1}^1 + D_{-11}^1 - D_{-1-1}^1) \\ &\quad + \frac{\mu_c}{2} (D_{11}^1 + D_{1-1}^1 + D_{-11}^1 + D_{-1-1}^1), \\ \hat{\mu}_z &= \mu_a D_{00}^1 - \frac{\mu_b}{\sqrt{2}} (D_{01}^1 - D_{0-1}^1) + i\frac{\mu_c}{\sqrt{2}} (D_{01}^1 + D_{0-1}^1), \end{aligned} \tag{3}$$

where  $D_{mk}^j$  are the elements of the Wigner  $D$ -matrix. We denote the matrix representation of the Hamiltonians  $\hat{H}_0$  and  $\hat{H}_p$  in the asymmetric top basis as  $\mathbf{H}_0$  and  $\mathbf{H}_p$ . To evaluate the matrix elements of  $\mathbf{H}_p$ , the asymmetric top eigenstates are written as a superposition of symmetric top eigenstates  $|J, K, M\rangle$  [20],

$$|J, \tau, M\rangle = \sum_K c_K^J(\tau) |J, K, M\rangle, \quad (4)$$

with quantum number  $K = -J, -J+1, \dots, J$ , where states with different  $K$  but the same  $J$  and  $M$  are mixed. The matrix elements  $\langle J', \tau', M' | \hat{H}_p | J, \tau, M \rangle$  thus contain expressions of the form

$$\langle J', \tau', M' | D_{mk}^1 | J, \tau, M \rangle = \sum_{K, K'} c_K^J(\tau) \left( c_{K'}^{J'}(\tau') \right)^* \langle J', K', M' | D_{mk}^1 | J, K, M \rangle, \quad (5)$$

with [19]

$$\begin{aligned} & \langle J', K', M' | D_{mk}^1 | J, K, M \rangle \\ &= \sqrt{2J'+1} \sqrt{2J+1} (-1)^{M'+K'} \begin{pmatrix} J & 1 & J' \\ M & m & -M' \end{pmatrix} \begin{pmatrix} J & 1 & J' \\ K & k & -K' \end{pmatrix}. \end{aligned} \quad (6)$$

The time-dependence of the control fields can be written as  $u_p(t) = \sum_i s_i^{(p)}(t) \cos(\omega_i t + \varphi_i)$ , where  $s_i^{(p)}(t)$  is the dimensionless envelope and  $\omega_i$  and  $\varphi_i$  are frequency and phase of the field. The frequencies are chosen to be resonant to one of the rotational transitions, i.e. either  $\omega_1 = |E_{J+1, \tau'} - E_{J, \tau}|$ ,  $\omega_2 = |E_{J+1, \tau''} - E_{J, \tau}|$  or  $\omega_3 = |E_{J+1, \tau''} - E_{J+1, \tau'}|$ . The field intensity can then be tuned such that only those transitions resonant to the frequency of the field are excited [15]. By selecting a control field with a single frequency component resonant to one of the rotational transitions, we can filter out those elements of  $\mathbf{H}_p$ , which are resonant to this frequency. We thus define the interaction operator  $\mathbf{H}_{\omega, p}$  in the asymmetric top eigenbasis as a matrix with elements

$$\langle J', \tau', M' | \hat{H}_{\omega, p} | J, \tau, M \rangle = \begin{cases} \langle J', \tau', M' | \hat{H}_p | J, \tau, M \rangle & \text{if } |E_{J', \tau'} - E_{J, \tau}| = \omega \\ 0 & \text{otherwise} \end{cases}. \quad (7)$$

Due to the selection rules defined by Wigner  $3j$ -symbols in equation (6),  $J' - J = 0, \pm 1$  and  $M' = M \pm 1$  for  $p = x, y$  and  $M' = M$  for  $p = z$ . The interaction Hamiltonian is thus determined by the polarization direction  $p = x, y, z$  of the corresponding field and its frequency  $\omega_i$ .

### 3. Graph representation

In the following, we consider a set of four interaction operators, namely

$$\mathbf{H}_{\omega_1, p_1}, \mathbf{H}_{\omega_1, p_2}, \mathbf{H}_{\omega_2, p_3}, \mathbf{H}_{\omega_2, p_4}, \quad (8)$$

where  $p_i$ ,  $i = 1-4$  can be any polarization direction,  $x, y$ , or  $z$  as long as the pairs  $p_1, p_2$  and  $p_3, p_4$  are not the same and all three polarization directions  $x, y, z$  are present. The corresponding control fields have the frequencies  $\omega_1 = |E_{J+1, \tau'} - E_{J, \tau}|$ , and  $\omega_2 = |E_{J+1, \tau''} - E_{J, \tau}|$ , as

indicated in figure 1. It has been demonstrated in [15] that the rotational dynamics of a rotational subsystem as in figure 1 is controllable with this set of interaction operators for the case  $J = 0$ . In order to extend this proof to a subsystem with arbitrary  $J$  with Hilbert space  $\mathcal{H} \cong \mathbb{C}^{6J+7}$ , it is sufficient to show that the Lie algebra satisfies

$$\begin{aligned} L &:= \text{Lie}\{i\mathbf{H}_0, i\mathbf{H}_{\omega_1, p_1}, i\mathbf{H}_{\omega_1, p_2}, i\mathbf{H}_{\omega_2, p_3}, i\mathbf{H}_{\omega_2, p_4}\} \\ &\supseteq \mathfrak{su}(6J + 7). \end{aligned} \quad (9)$$

The Lie algebra  $\mathfrak{su}(n)$  is spanned by the generalized Pauli matrices [14],

$$\begin{aligned} \mathbf{G}_{j,k} &= e_{j,k} - e_{k,j}, \\ \mathbf{F}_{j,k} &= ie_{j,k} + ie_{k,j}, \\ \mathbf{D}_{j,k} &= ie_{j,j} - ie_{k,k}, \end{aligned} \quad (10)$$

for  $j, k = 1, \dots, n$ . Here  $e_{j,k}$  is the matrix whose entries are all zero except for the entry in row  $j$  and column  $k$  which is equal to one. It is worth noting, that  $\mathbf{G}_{k,j} = -\mathbf{G}_{j,k}$  and  $\mathbf{D}_{k,j} = -\mathbf{D}_{j,k}$ , while  $\mathbf{F}_{k,j} = \mathbf{F}_{j,k}$ . For the rotational subsystem in figure 1,  $i, j = 1, \dots, 6J + 7$ . In order to prove equation (9), we thus need to show that repeatedly taking commutators between  $i\mathbf{H}_{\omega_i, p}$  and  $i\mathbf{H}_0$  yields elements of the Lie algebra which are proportional to each of the operators  $\mathbf{G}_{j,k}$ ,  $\mathbf{F}_{j,k}$ , and  $\mathbf{D}_{j,k}$  alone. For these computations, we will exploit the following properties of the generalized Pauli matrices: their commutator relations read

$$\begin{aligned} [\mathbf{G}_{j,k}, \mathbf{G}_{k,l}] &= \mathbf{G}_{j,l}, \\ [\mathbf{F}_{j,k}, \mathbf{F}_{k,l}] &= -\mathbf{G}_{j,l}, \\ [\mathbf{G}_{j,k}, \mathbf{F}_{k,l}] &= \mathbf{F}_{j,l}, \end{aligned} \quad (11a)$$

and

$$\begin{aligned} [\mathbf{G}_{j,k}, \mathbf{F}_{j,k}] &= 2\mathbf{D}_{j,k}, \\ [\mathbf{F}_{j,k}, \mathbf{D}_{j,k}] &= 2\mathbf{G}_{j,k}. \end{aligned} \quad (11b)$$

Operators which couple disjoint pairs of states commute,

$$[\mathbf{T}_{j,k}, \mathbf{U}_{j',k'}] = 0 \quad \text{if } \{j, k\} \cap \{j', k'\} = \emptyset, \quad (11c)$$

with  $\mathbf{T}, \mathbf{U} \in \{\mathbf{G}, \mathbf{F}, \mathbf{D}\}$ . Finally, the commutators with the rotational Hamiltonian, which is diagonal in the asymmetric top basis, are given by

$$\begin{aligned} [i\mathbf{H}_0, \mathbf{G}_{j,k}] &= -\Delta E_{k,j} \mathbf{F}_{j,k}, \\ [i\mathbf{H}_0, \mathbf{F}_{j,k}] &= \Delta E_{k,j} \mathbf{G}_{j,k}, \end{aligned} \quad (11d)$$

where  $\Delta E_{k,j}$  is the energy level spacing between states  $j$  and  $k$ .

To carry out the proof, we chose  $p_1 = x$ ,  $p_2 = y$ ,  $p_3 = y$  and  $p_4 = z$  and express the anti-Hermitian operators  $i\mathbf{H}_{\omega_i, p}$  in terms of the generalized Pauli matrices in the asymmetric top basis. Note that the coefficients  $c_K^J$  in equation (5) do not depend on  $M$ . The summation over

these coefficients thus only results in a common prefactor, which is not relevant for the proof of controllability (i.e., for the generated Lie algebra) and can be factored out. For simplicity of notation, we denote the interaction Hamiltonians below without these  $M$ -independent prefactors. Note further that the  $M$ -dependence of the interaction Hamiltonians is solely determined by the  $M$ -dependent Wigner  $3j$ -symbol in equation (6). For  $i\mathbf{H}_{\omega_1,x}$ ,  $i\mathbf{H}_{\omega_1,y}$  and  $i\mathbf{H}_{\omega_2,y}$  in particular, it is given by [21]

$$\begin{pmatrix} J & 1 & J+1 \\ M & \pm 1 & -(M \pm 1) \end{pmatrix} = (-1)^{J+M} \times \frac{\sqrt{(J \pm M + 2)(J \pm M + 1)}}{\sqrt{(2J+3)(2J+2)(2J+1)}},$$

while for  $i\mathbf{H}_{\omega_2,z}$  it reads

$$\begin{pmatrix} J & 1 & J+1 \\ M & 0 & -M \end{pmatrix} = (-1)^{J+M} \times \frac{\sqrt{(J+M+1)(J-M+1)}}{\sqrt{(2J+3)(2J+1)(J+1)}}.$$

We can thus write

$$\begin{aligned} i\mathbf{H}_{\omega_1,x} = & \mu_c \left( \sqrt{(J+1)(2J+1)}(\mathbf{G}_{-J,-J-1}^{\tau,\tau'} + \mathbf{G}_{J,J+1}^{\tau,\tau'}) \right. \\ & + \sqrt{J(2J+1)}(\mathbf{G}_{-J+1,-J}^{\tau,\tau'} + \mathbf{G}_{J-1,J}^{\tau,\tau'}) \\ & + \cdots + \sqrt{3}(\mathbf{G}_{J-1,J-2}^{\tau,\tau'} + \mathbf{G}_{-J+1,-J+2}^{\tau,\tau'}) + (\mathbf{G}_{J,J-1}^{\tau,\tau'} + \mathbf{G}_{-J,-J+1}^{\tau,\tau'}) \Big), \quad (12) \end{aligned}$$

$$\begin{aligned} i\mathbf{H}_{\omega_1,y} = & \mu_c \left( \sqrt{(J+1)(2J+1)}(-\mathbf{F}_{-J,-(J+1)}^{\tau,\tau'} + \mathbf{F}_{J,J+1}^{\tau,\tau'}) \right. \\ & + \sqrt{J(2J+1)}(-\mathbf{F}_{-J+1,-J}^{\tau,\tau'} + \mathbf{F}_{J-1,J}^{\tau,\tau'}) \\ & + \cdots + \sqrt{3}(-\mathbf{F}_{J-1,J-2}^{\tau,\tau'} + \mathbf{F}_{-J+1,-J+2}^{\tau,\tau'}) \\ & \left. + (-\mathbf{F}_{J,J-1}^{\tau,\tau'} + \mathbf{F}_{-J,-J+1}^{\tau,\tau'}) \right), \quad (13) \end{aligned}$$

$$\begin{aligned} i\mathbf{H}_{\omega_2,y} = & \mu_a \left( \sqrt{(J+1)(2J+1)}(-\mathbf{G}_{-J,-(J+1)}^{\tau,\tau''} + \mathbf{G}_{J,J+1}^{\tau,\tau''}) \right. \\ & + \sqrt{J(2J+1)}(-\mathbf{G}_{-J+1,-J}^{\tau,\tau''} + \mathbf{G}_{J-1,J}^{\tau,\tau''}) \\ & + \cdots + \sqrt{3}(-\mathbf{G}_{J-1,J-2}^{\tau,\tau''} + \mathbf{G}_{-J+1,-J+2}^{\tau,\tau''}) \\ & \left. + (-\mathbf{G}_{J,J-1}^{\tau,\tau''} + \mathbf{G}_{-J,-J+1}^{\tau,\tau''}) \right), \quad (14) \end{aligned}$$

$$\begin{aligned} i\mathbf{H}_{\omega_2,z} = & \mu_a \left( \sqrt{2J+1}(\mathbf{G}_{-J,-J}^{\tau,\tau''} + \mathbf{G}_{J,J}^{\tau,\tau''}) \right. \\ & + \sqrt{4J}(\mathbf{G}_{-J+1,-J+1}^{\tau,\tau''} + \mathbf{G}_{J-1,J-1}^{\tau,\tau''}) \\ & \left. + \cdots + (J+1)\mathbf{G}_{0,0}^{\tau,\tau''} \right). \quad (15) \end{aligned}$$

Instead of labeling the rotational states with a single index  $i$  running from 1 to  $6J + 7$ , as indicated by the gray labels in figure 2(a), we label the three rotational levels by  $\tau$ ,  $\tau'$  and  $\tau''$ , and denote the generalized Pauli matrices that describe the interaction between the states  $|J, \tau, M\rangle$  and  $|J + 1, \tau', M'\rangle$  as  $\mathbf{G}_{M,M'}^{\tau,\tau'}$  and  $\mathbf{F}_{M,M'}^{\tau,\tau'}$ , and the interaction between the states  $|J, \tau, M\rangle$  and  $|J + 1, \tau'', M'\rangle$  as  $\mathbf{G}_{M,M'}^{\tau,\tau''}$  and  $\mathbf{F}_{M,M'}^{\tau,\tau''}$ . These matrices correspond to  $\mathbf{G}_{jk}$  and  $\mathbf{F}_{jk}$  in equation (10). For example,  $\mathbf{G}_{j=1,k=2J+2} = \mathbf{G}_{-J,-(J+1)}^{\tau,\tau'}$  and  $\mathbf{G}_{j=2J+1,k=4J+4} = \mathbf{G}_{J,(J+1)}^{\tau,\tau'}$ , see figure 2(a) and  $\mathbf{G}_{j=1,k=4J+6} = \mathbf{G}_{-J,-J}^{\tau,\tau''}$  in panel (b). The interaction Hamiltonians (12), (13), and (14) are linear combinations of  $(2J + 1)$ -pairs of generalized Pauli matrices with different prefactors, while equation (15) is a sum of  $J$ -pairs plus a single element. In order to carry out the proof, we adapt the graph representation introduced in references [12, 13] to the asymmetric quantum rotor. Graph representations have also been used to study the controllability of quantum walks [22] and quantum networks [23] in quantum information. In the present case of the asymmetric quantum rotor, the graph representation together with a Lie algebraic tool based on the properties of the Vandermonde matrix (see equation (18)) is crucial to isolate the Lie algebra basis elements and thus find the basis for the induction. The graph is obtained by presenting the eigenstates of  $\mathbf{H}_0$  as vertices (indicated by the horizontal bars in figure 2). The edges of the graph (colored lines in figure 2) are given by the generalized Pauli matrices that occur in  $\mathbf{H}_{\omega_i,p}$ , cf equations (12)–(15). Note that lines with same color belong to the same interaction Hamiltonian  $\mathbf{H}_{\omega_i,p}$ . The graph shown in panel (a) of figure 2 presents  $\mathbf{H}_0$  interacting with the control Hamiltonians  $\mathbf{H}_{\omega_1,x}$  or  $\mathbf{H}_{\omega_1,y}$ . The two Hamiltonians describe the same transitions and only differ by the relative signs of the transitions, such that adding and subtracting the two Hamiltonians leads to the distinct graphs depicted by the red and blue lines. Panels (b) and (c) present the graphs for the interaction with  $\mathbf{H}_{\omega_2,z}$  and  $\mathbf{H}_{\omega_2,y}$ , respectively.

#### 4. Generating the Lie algebra of an arbitrary rotational subsystem by induction

To prove equation (9), we repeatedly take commutators and linear combinations of equations (12)–(15) and  $i\mathbf{H}_0$ , to show that each of the generalized Pauli matrices, or basis elements, that occurs in equations (12)–(15) is an element of  $L$ . Realizing that the basis elements in equations (12)–(15) create a connected graph, we can conclude that the remaining basis elements of  $\mathfrak{su}(6J + 7)$  are also in  $L$ . The proof is divided into six steps.

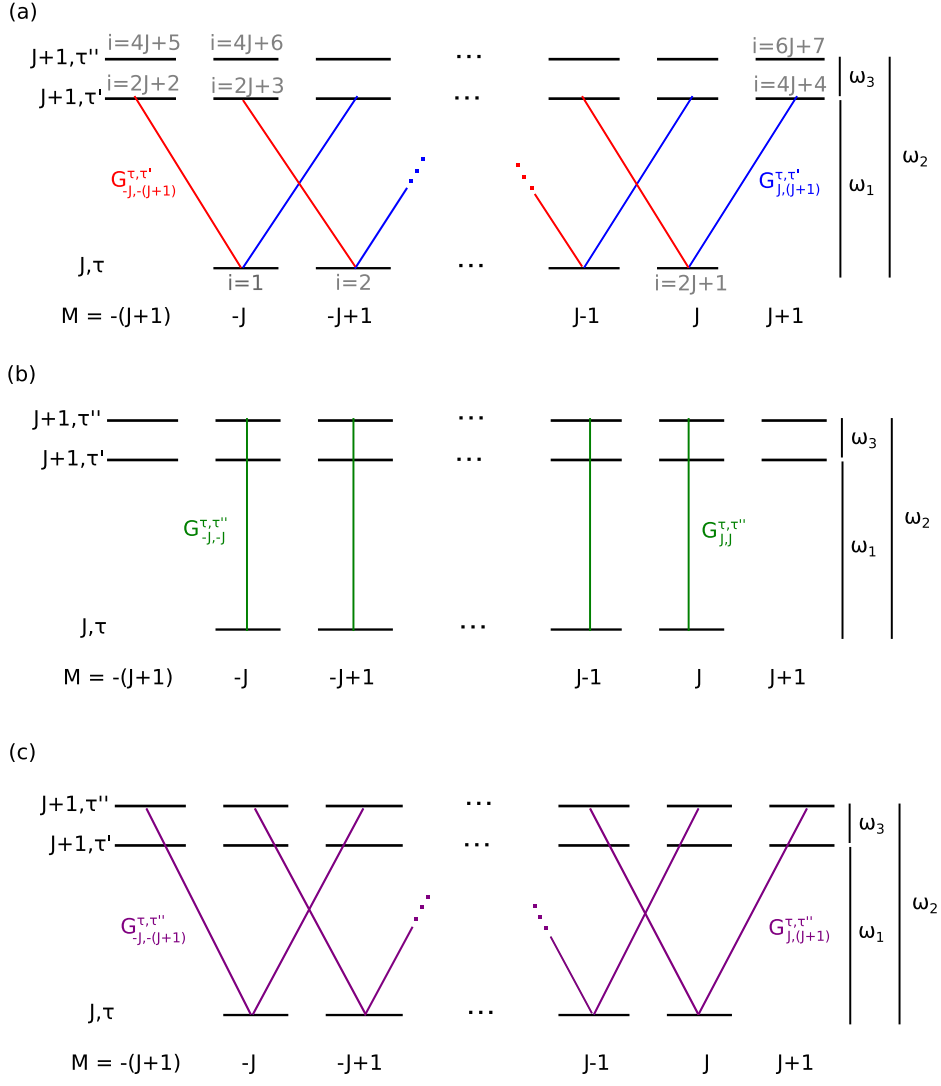
Step 1 Isolating the basis elements occurring in  $i\mathbf{H}_{\omega_1,x}$  and  $i\mathbf{H}_{\omega_1,y}$

We construct Hamiltonians  $i\mathbf{H}_{\omega_1,\sigma_{\pm}}$  as linear combinations of operators which are in  $L$ ,

$$\begin{aligned} i\mathbf{H}_{\omega_1,\sigma_+} &:= \frac{1}{2} (i\mathbf{H}_{\omega_1,x} + [i\mathbf{H}_0, i\mathbf{H}_{\omega_1,y}]/\omega_1) \\ &= \mu_c \left( \sqrt{(J+1)(2J+1)}\mathbf{G}_{J,J+1}^{\tau,\tau'} + \sqrt{J(2J+1)}\mathbf{G}_{J-1,J}^{\tau,\tau'} \right. \\ &\quad \left. + \dots + \sqrt{3}\mathbf{G}_{-J+1,-J+2}^{\tau,\tau'} + \mathbf{G}_{-J,-J+1}^{\tau,\tau'} \right), \end{aligned} \tag{16}$$

and





**Figure 2.** (a) The red and blue lines indicate the transitions induced by the interaction Hamiltonians  $i\mathbf{H}_{\omega_1, x}$  and  $i\mathbf{H}_{\omega_1, y}$ . The blue (red) lines alone represent the interaction Hamiltonians  $i\mathbf{H}_{\omega_1, \sigma_+}$  ( $i\mathbf{H}_{\omega_1, \sigma_-}$ ). The green and purple lines present  $i\mathbf{H}_{\omega_2, z}$  (b) and  $i\mathbf{H}_{\omega_2, y}$  (c). Each of these lines represents one of the generalized Pauli matrices  $G_{M, M'}^{\tau, \tau'}$ . In panel (a), the labeling of the rotational states with a single index  $i = 1, \dots, 6J + 7$  is indicated in gray.

$$\begin{aligned}
 i\mathbf{H}_{\omega_1, \sigma_-} &:= \frac{1}{2} (i\mathbf{H}_{\omega_1, x} - [i\mathbf{H}_0, i\mathbf{H}_{\omega_1, y}]/\omega_1) \\
 &= \mu_c \left( \sqrt{(J+1)(2J+1)} \mathbf{G}_{-J, -(J+1)}^{\tau, \tau'} + \sqrt{J(2J+1)} \mathbf{G}_{-J+1, -J}^{\tau, \tau'} \right. \\
 &\quad \left. + \dots + \sqrt{3} \mathbf{G}_{J-1, J-2}^{\tau, \tau'} + \mathbf{G}_{J, J-1}^{\tau, \tau'} \right), \quad (17)
 \end{aligned}$$

where we have used equation (11d) to compute the commutators. The Hamiltonians  $i\mathbf{H}_{\omega_1, \sigma_{\pm}}$  describe the interaction with right and left circularly polarized radiation with frequency  $\omega_1$ , and the operators in equations (16) and (17) contain only those generalized Pauli matrices which correspond to the blue and red lines in figure 2(a). Using the abbreviations  $\text{ad}_A^{n+1} B = [A, \text{ad}_A^n B]$  and  $\text{ad}_A^0 B = B$  and defining  $\mathbf{J}(i\mathbf{H}_{\omega_1, \sigma_+}) = [i\mathbf{H}_0, i\mathbf{H}_{\omega_1, \sigma_+}] / \omega_1$ , we find

$$\begin{aligned} \text{ad}_{\mathbf{J}(i\mathbf{H}_{\omega_1, \sigma_+})}^{2s} i\mathbf{H}_{\omega_1, \sigma_+} &\propto \left( \sqrt{(J+1)(2J+1)}^{2s+1} \mathbf{G}_{J, J+1}^{\tau, \tau'} \right. \\ &\quad + \sqrt{J(2J+1)}^{2s+1} \mathbf{G}_{J-1, J}^{\tau, \tau'} \\ &\quad \left. + \dots + \sqrt{3}^{2s+1} \mathbf{G}_{-J+1, -J+2}^{\tau, \tau'} + \mathbf{G}_{-J, -J+1}^{\tau, \tau'} \right), \end{aligned}$$

for  $s = 0, \dots, 2J$ . We can thus write

$$\begin{pmatrix} \text{ad}_{\mathbf{J}(i\mathbf{H}_{\omega_1, \sigma_+})}^0 i\mathbf{H}_{\omega_1, \sigma_+} \\ \text{ad}_{\mathbf{J}(i\mathbf{H}_{\omega_1, \sigma_+})}^2 i\mathbf{H}_{\omega_1, \sigma_+} \\ \vdots \\ \text{ad}_{\mathbf{J}(i\mathbf{H}_{\omega_1, \sigma_+})}^{4J-2} i\mathbf{H}_{\omega_1, \sigma_+} \\ \text{ad}_{\mathbf{J}(i\mathbf{H}_{\omega_1, \sigma_+})}^{4J} i\mathbf{H}_{\omega_1, \sigma_+} \end{pmatrix} = V \begin{pmatrix} \mathbf{G}_{J, J+1}^{\tau, \tau'} \\ \mathbf{G}_{J-1, J}^{\tau, \tau'} \\ \vdots \\ \mathbf{G}_{-J+1, -J+2}^{\tau, \tau'} \\ \mathbf{G}_{-J, -J+1}^{\tau, \tau'} \end{pmatrix}, \quad (18)$$

with

$$V = \begin{pmatrix} \sqrt{(J+1)(2J+1)} & \sqrt{J(2J+1)} & \dots & \sqrt{3} & 1 \\ \sqrt{(J+1)(2J+1)}^3 & \sqrt{J(2J+1)}^3 & \dots & \sqrt{3}^3 & 1 \\ \sqrt{(J+1)(2J+1)}^5 & \sqrt{J(2J+1)}^5 & \dots & \sqrt{3}^5 & 1 \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \sqrt{(J+1)(2J+1)}^{4J-1} & \sqrt{J(2J+1)}^{4J-1} & \dots & \sqrt{3}^{4J-1} & 1 \\ \sqrt{(J+1)(2J+1)}^{4J+1} & \sqrt{J(2J+1)}^{4J+1} & \dots & \sqrt{3}^{4J+1} & 1 \end{pmatrix}.$$

Since  $V$  is a Vandermonde matrix, its determinant is given by the product of the sum and the difference of every pair of the coefficients in the first row. Noticing that those coefficients form a positive, strictly increasing sequence, we see that they are all different. Thus  $V$  is invertible, and we find that

$$\mathbf{G}_{J, J+1}^{\tau, \tau'}, \mathbf{G}_{J-1, J}^{\tau, \tau'}, \dots, \mathbf{G}_{-J+1, -J+2}^{\tau, \tau'}, \mathbf{G}_{-J, -J+1}^{\tau, \tau'} \in L, \quad (19)$$

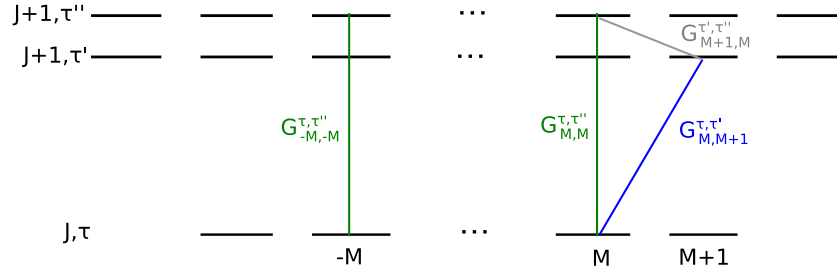
Replacing  $i\mathbf{H}_{\omega_1, \sigma_+}$  by  $i\mathbf{H}_{\omega_1, \sigma_-}$  in equation (18), we find analogously that

$$\mathbf{G}_{-J, -(J+1)}^{\tau, \tau'}, \mathbf{G}_{-J+1, -J}^{\tau, \tau'}, \dots, \mathbf{G}_{J-1, J-2}^{\tau, \tau'}, \mathbf{G}_{J, J-1}^{\tau, \tau'} \in L. \quad (20)$$

We have thus shown that each of the basis elements indicated by the blue and red lines in figure 2 is an element of  $L$ .

Step 2 Isolating the basis elements occurring in  $i\mathbf{H}_{\omega_2, z}$

We now reproduce the previous argument for the operator  $i\mathbf{H}_{\omega_2, z}$ . Replacing  $i\mathbf{H}_{\omega_1, \sigma_+}$  by  $i\mathbf{H}_{\omega_2, z}$  in equation (18), and noticing that in this case the sequence of coefficients in the first row of the corresponding matrix  $V$  is positive and strictly decreasing, we find that



**Figure 3.** Illustration of the double commutator equation (23): the commutator between  $\mathbf{G}_{-M,-M}^{\tau,\tau''} + \mathbf{G}_{M,M}^{\tau,\tau''}$  (green lines) and  $\mathbf{G}_{M,M+1}^{\tau,\tau'}$  (blue line) results in the basis element indicated by the gray line. The commutator between the basis elements represented by the gray and blue lines then results in  $\mathbf{G}_{M,M}^{\tau,\tau''}$  (right green line) alone.

$$\mathbf{G}_{-J,-J}^{\tau,\tau''} + \mathbf{G}_{J,J}^{\tau,\tau''}, \mathbf{G}_{-J+1,-J+1}^{\tau,\tau''} + \mathbf{G}_{J-1,J-1}^{\tau,\tau''}, \dots, \mathbf{G}_{0,0}^{\tau,\tau''} \in L. \tag{21}$$

To separate the sum over  $M$  from that over  $-M$  in (21), we take double commutators with matrices of equation (19), that is,

$$\left[ \left[ \mathbf{G}_{-M,-M}^{\tau,\tau''} + \mathbf{G}_{M,M}^{\tau,\tau''}, \mathbf{G}_{M,M+1}^{\tau,\tau'} \right], \mathbf{G}_{M,M+1}^{\tau,\tau'} \right] = -\mathbf{G}_{M,M}^{\tau,\tau''}, \tag{22}$$

which is also illustrated in figure 3. Thus

$$\mathbf{G}_{-J,-J}^{\tau,\tau''}, \dots, \mathbf{G}_{J,J}^{\tau,\tau''} \in L, \tag{23}$$

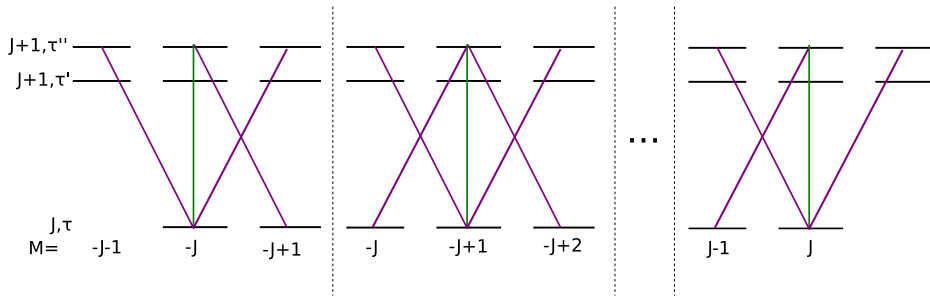
i.e., all basis elements indicated by the green lines in figure 2(b) are elements of  $L$ . Note, that instead of calculating the double commutators as in equation (22), one could also graphically deduce the basis elements: the double commutator between a linear combination of basis elements (indicated by the green lines in figure 3), and a single basis element (indicated by the blue line) contains only those basis elements of the linear combination, which have a common vertex with the single basis element. We will extensively use this technique in the following steps of the proof.

Step 3 Isolating the basis elements occurring in  $i\mathbf{H}_{\omega_2,y}$

Next, we isolate the basis elements that occur in interaction Hamiltonian  $i\mathbf{H}_{\omega_2,y}$ , i.e., the purple lines in figure 2(c), by means of a graph proof. Taking double commutators of  $i\mathbf{H}_{\omega_2,y}$  with the basis elements obtained in equation (21), we can isolate  $2J + 1$  groups of interactions within  $i\mathbf{H}_{\omega_2,y}$ , where each group is centered around the transition

$$(J, \tau, M) \leftrightarrow (J + 1, \tau'', M), \quad M = -J, \dots, J.$$

This is illustrated in figure (4). We find for all  $M \neq \pm J$ ,



**Figure 4.** Illustration of the double commutator between  $i\mathbf{H}_{\omega_2, y}$  and the basis elements (23), depicted as green lines: the double commutator between  $i\mathbf{H}_{\omega_2, y}$  and  $\mathbf{G}_{-J, -J}^{\tau, \tau''}$  results in an operator, which contains the three purple lines shown in the left panel. The four purple lines in the next panel depict the result of the double commutator between  $i\mathbf{H}_{\omega_2, y}$  and  $\mathbf{G}_{-J+1, -J+1}^{\tau, \tau''}$ , and so on.

$$\begin{aligned}
 \left[ \left[ i\mathbf{H}_{\omega_2, y}, \mathbf{G}_{M, M}^{\tau, \tau''} \right], \mathbf{G}_{M, M}^{\tau, \tau''} \right] &= -\sqrt{\frac{1}{2}(J+M+1)(J+M)} \mathbf{G}_{M-1, M}^{\tau, \tau''} \\
 &\quad -\sqrt{\frac{1}{2}(J+M+2)(J+M+1)} \mathbf{G}_{M, M+1}^{\tau, \tau''} \\
 &\quad +\sqrt{\frac{1}{2}(J-M+1)(J-M)} \mathbf{G}_{M+1, M}^{\tau, \tau''} \\
 &\quad +\sqrt{\frac{1}{2}(J-M+2)(J-M+1)} \mathbf{G}_{M, M-1}^{\tau, \tau''},
 \end{aligned} \tag{24}$$

with the resulting four generalized Pauli matrices indicated by the purple lines in the second panel from the left in figure 4. If  $M = -J$ ,

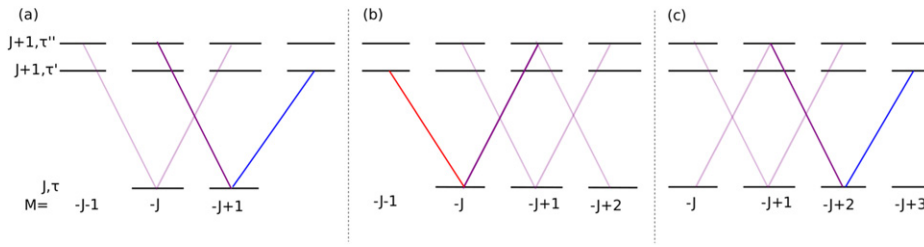
$$\begin{aligned}
 \left[ \left[ i\mathbf{H}_{\omega_2, y}, \mathbf{G}_{-J, -J}^{\tau, \tau''} \right], \mathbf{G}_{-J, -J}^{\tau, \tau''} \right] &= \sqrt{(J+1)(2J+1)} \mathbf{G}_{-J, -J-1}^{\tau, \tau''} \\
 &\quad +\sqrt{J(2J+1)} \mathbf{G}_{-J+1, -J}^{\tau, \tau''} - \mathbf{G}_{-J, -J+1}^{\tau, \tau''},
 \end{aligned} \tag{25}$$

where three generalized Pauli matrices are shown as purple lines in the left panel of figure 4. Finally, if  $M = J$ ,

$$\begin{aligned}
 \left[ \left[ i\mathbf{H}_{\omega_2, y}, \mathbf{G}_{J, J}^{\tau, \tau''} \right], \mathbf{G}_{J, J}^{\tau, \tau''} \right] &= -\sqrt{(J+1)(2J+1)} \mathbf{G}_{J, J+1}^{\tau, \tau''} \\
 &\quad -\sqrt{J(2J+1)} \mathbf{G}_{J-1, J}^{\tau, \tau''} + \mathbf{G}_{J, J-1}^{\tau, \tau''},
 \end{aligned} \tag{26}$$

with the three generalized Pauli matrices shown in the right panel of figure 4.

Next, we show by induction on  $M$  that each of the purple lines in figure 4 can be isolated. As basis for the inductive argument, we first show that the transitions around  $(J, \tau, -J) \leftrightarrow (J+1, \tau'', -J)$  and  $(J, \tau, -J+1) \leftrightarrow (J+1, \tau'', -J+1)$ , indicated by the purple lines in the left and second-left panel of figure 4, can be isolated. We then



**Figure 5.** A linear combination of the basis elements depicted by the (light and dark) purple lines is an operator  $\in L$ . The basis elements depicted by the dark purple lines in (a), (b), and (c) can be isolated by calculating the double commutator with the basis element depicted by the blue (a), (c) and red (b) lines.

carry out the inductive step, that is, we prove that, if we can isolate each of the four basis elements around the transition  $(J, \tau, M) \leftrightarrow (J + 1, \tau'', M)$ , then we can do the same for the basis elements around the transition  $(J, \tau, M + 1) \leftrightarrow (J, \tau'', M + 1)$  for all  $M < J - 1$ .

**Step 4 Basis of induction**

Since  $\mathbf{G}_{-J+1, -J+2}^{\tau, \tau'} \in L$ , cf equation (19), we start by computing the double commutator of (25) with  $\mathbf{G}_{-J+1, -J+2}^{\tau, \tau'}$ . As indicated in figure 5(a), this operation yields

$$\mathbf{G}_{-J+1, -J}^{\tau, \tau''} \in L. \tag{27}$$

Moreover, according to equation (20), we can compute the double commutators of (24) for  $M = -J + 1$  with  $\mathbf{G}_{-J, -J-1}^{\tau, \tau'}$ . The action of this double commutator is depicted in figure 5(b) and results in

$$\mathbf{G}_{-J, -J+1}^{\tau, \tau''} \in L. \tag{28}$$

Taking the double commutator of (24) for  $M = -J + 1$  with  $\mathbf{G}_{-J+2, -J+3}^{\tau, \tau'}$  we find that

$$\mathbf{G}_{-J+2, -J+1}^{\tau, \tau''} \in L, \tag{29}$$

which is illustrated in figure 5(c). Now, subtracting a suitable linear combination of equations (27)–(29) from (24) for  $M = -J + 1$  results in

$$\mathbf{G}_{-J+1, -J+2}^{\tau, \tau''} \in L. \tag{30}$$

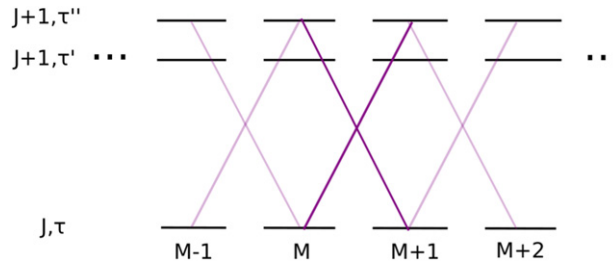
We have thus shown that the generalized Pauli matrices corresponding to the four purple lines in the second-left panel of figure 4 can be isolated. Subtracting a suitable linear combination of equations (27) and (28) from (25), we find that

$$\mathbf{G}_{-J, -J-1}^{\tau, \tau''} \in L. \tag{31}$$

Thus, also the three generalized Pauli matrices indicated by the purple lines in the first panel of figure 4 can be isolated. This concludes the basis of the induction.

**Step 5 Inductive step**

We now prove the inductive step, that is, if we can isolate each of the basis elements presented by the four lines around the transition  $(J, \tau, M) \leftrightarrow (J + 1, \tau'', M)$ , then we



**Figure 6.** The dark purple lines are part of the set of basis elements centered around the  $(J, \tau, M) \leftrightarrow (J + 1, \tau'', M)$ -transition as well as of the set of basis elements centered around the  $(J, \tau, M + 1) \leftrightarrow (J + 1, \tau'', M + 1)$ -transition.

can do the same with the basis elements around the transition  $(J, \tau, M + 1) \leftrightarrow (J + 1, \tau'', M + 1)$  for all  $M < J - 1$ . Indeed, inspection of figure 6 reveals that the transitions  $(J, \tau, M) \leftrightarrow (J + 1, \tau'', M + 1)$  and  $(J, \tau, M + 1) \leftrightarrow (J + 1, \tau'', M)$  are common for both sets of transitions. Thus the inductive hypothesis implies that we are left to show that the sum of basis elements

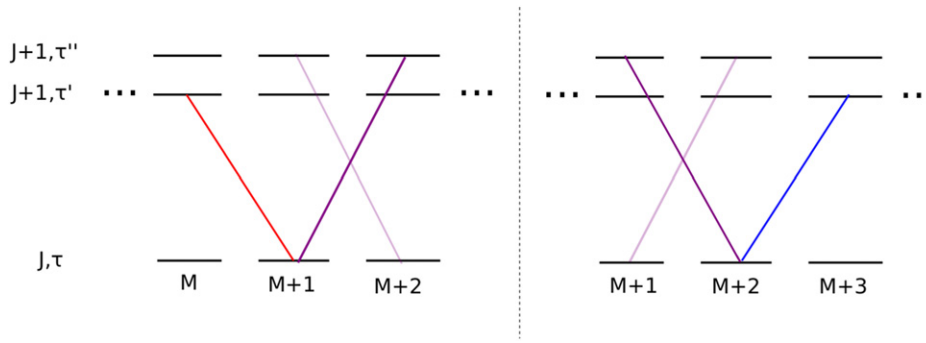
$$\sqrt{\frac{1}{2}(J + M + 3)(J + M + 2)}\mathbf{G}_{M+1, M+2}^{\tau, \tau''} + \sqrt{\frac{1}{2}(J - M)(J - M - 1)}\mathbf{G}_{M+2, M+1}^{\tau, \tau''} \in L,$$

can be separated. This can be done by taking double commutators with  $\mathbf{G}_{M+2, M+3}^{\tau, \tau'}$  and  $\mathbf{G}_{M+1, M}^{\tau, \tau'}$   $\in L$ , as illustrated in figure 7. Thus, it remains to be shown that the basis elements depicted by purple lines in the right panel in figure 5 can be isolated. Since it has already been shown that the basis elements corresponding to the transitions  $(J, \tau, J - 1) \leftrightarrow (J + 1, \tau'', J)$  and  $(J, \tau, J) \leftrightarrow (J + 1, \tau'', J - 1)$  can be isolated, the remaining basis element corresponding to the transition  $(J, \tau, J) \leftrightarrow (J + 1, \tau'', J + 1)$  can be isolated by subtracting these two elements. We have thus demonstrated that all generalized Pauli matrices appearing in  $i\mathbf{H}_{\omega_2, y}$ , i.e. all basis elements depicted by purple lines in figure 2(c) are in  $L$ .

**Step 6 Connectedness**

In the previous steps, we have shown that each basis element present in equations (12)–(15) belongs to  $L$ . We are left to prove that the remaining Pauli matrices spanning  $\mathfrak{su}(6J + 7)$  are in  $L$  as well. As one can see from figure 2, the lines (or *edges*, in graph theoretical terminology) representing the basis elements present in equations (12)–(15), form a connected graph. In other words, any pair of rotational eigenstates can be connected by following blue, red, and purple lines. It follows from equation (11) that, given two concatenated edges of the graph, the commutator between their corresponding basis elements is another basis element. The edge associated with this new basis element connects the external vertices of the two concatenated edges. The new basis element is also in  $L$ , since the latter is a Lie algebra.

Iterating this reasoning for longer and longer concatenations of edges, we find that  $L$  contains all generalized Pauli matrices  $\mathbf{G}_{M, M'}^{\sigma, \nu}$ , for  $\sigma, \nu = \tau, \tau', \tau''$  and  $-J \leq M, M' \leq J$  if  $\sigma$  or  $\nu$



**Figure 7.** A linear superposition of the basis elements depicted by the (light and dark) purple lines is an operator  $\in L$ . The basis elements depicted by the dark purple lines in both panels can be isolated by calculating the double commutator with the basis element depicted by the blue and red lines.

is equal to  $\tau$  and  $-(J + 1) \leq M, M' \leq (J + 1)$  otherwise. By applying relations (11), we find that all matrices  $\mathbf{F}_{M,M'}^{\sigma,\nu}$  and  $\mathbf{D}_{M,M'}^{\sigma,\nu}$  are in  $L$  as well. This concludes the proof of equation (9).

### 5. Conclusions

We have presented a method to construct the basis of the Lie algebra for a highly degenerate, three-level rotational subsystem  $J/J + 1/J + 1$  of an asymmetric top with arbitrarily high rotational excitation. This is a prerequisite for proving controllability of such subsystems. The controllability of the complete spectrum of an asymmetric top has been analyzed in a perturbative treatment in [24]. Controlling a particular subsystem of an asymmetric top is often both necessary and sufficient in view of applications [15]. In practice, the subsystem can typically be isolated from the rest of the Hilbert space by fulfilling the corresponding spectral condition. In case of an asymmetric top, this is realized by choosing frequencies and intensities of the (microwave) radiation such that only few rotational transitions are addressed [16–18]. We have generalized here the result of reference [15] on the minimal number of fields for the rotational subsystem to be controllable from  $J = 0$  and  $J = 1$  to arbitrary  $J$ . This was made possible by making use of a graph representation similar to that in references [13, 22]. Presenting the eigenstates of the system as edges and the transitions induced by the control fields as vertices of a graph has allowed us to determine all nested commutators via an inductive argument and thus construct the basis of the rotational subsystem’s Lie algebra for arbitrary  $J$ . This is a necessary prerequisite to analyze controllability of arbitrary rotational subsystems [15]. Analyzing the controllability of the rotational subsystems considered here is of practical importance for current applications of quantum asymmetric top rotors from quantum information [5] to high-resolution spectroscopy [6].

Our approach combining a graphical representation of the Hamiltonian with an inductive construction of the dynamical Lie algebra can in principle be applied to other Hamiltonians defined on a Hilbert space with tensor sum structure. Furthermore, we believe that extension to Hamiltonians defined on a tensor Hilbert space may also be possible. In this case, the treatment of interactions represents a challenge, in addition to a potentially large Hilbert space with many degenerate levels. Overcoming this challenge would allow us to advance present understanding of controllability of arrays of interacting two-level systems [23, 25–29] by, for example,

identifying the drives that are needed to implement any unitary evolution in such arrays. This is the subject of future work.

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## Data availability statement

No new data were created or analysed in this study.

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